



1061.1010

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Babak REZVANI et al.  
Serial No. : 09/698,981  
Filed : October 27, 2000  
For : SERVICE BROKER FOR PROCESSING  
DATA FROM A DATA NETWORK  
Group Art Unit : 2155  
Examiner : Philip B. Tran  
Confirmation No. : 8295

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith information which they believe may be material to examination of this Application, in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §§ 1.56 and 1.97.

While this Information Disclosure Statement may be material pursuant to 37 C.F.R. § 1.56, it does not constitute an admission that the information referred to therein is "prior art" to Applicants' invention unless designated as such.

In accordance with 37 C.F.R. § 1.97, the filing of this Statement shall not be construed to be an admission that the information attached to this Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

By this submission, Applicants also do not represent that a search has been made nor that better art does not exist.

Applicants reserve the right to contend, as appropriate, that any information asserted against any claim(s) of the captioned Application is not "prior art" according to the facts and the law.

Applicants further reserve the right to present appropriate arguments and/or evidence to establish patentability over such information, should any citation listed below be applied against any claim(s) of the captioned Application.

Applicants respectfully request that the Examiner, after considering the information set forth below, independently determine those citations which the Examiner would consider to be the most pertinent.

Copies of the following citations are submitted along with PTO Form-1449.

Joao, U.S. Patent No. 6,587,046, issued July 1, 2003: is directed to a monitoring apparatus and method.

Joao, U.S. Patent No. 6,549,130, issued April 15, 2003: relates to a control apparatus and method for vehicles and/or for premises.

Joao, U.S. Patent No. 6,542,077, issued April 1, 2003: concerns a monitoring apparatus for a vehicle and/or a premises.

Joao, U.S. Patent No. 6,542,076, issued April 1, 2003: discloses a control, monitoring and/or security apparatus and method.

Yokoyama et al., U.S. Patent No. 6,307,857, issued October 23, 2001: is directed to asynchronous transfer mode controller and ATM control method thereof and ATM communication control apparatus.

Mattaway et al., U.S. Patent No. 6,275,490, issued August 14, 2001: relates to a method and apparatus for establishing communications from browser application.

It is requested that the above be made of record in this Application.

Respectfully submitted,



Dated: October 11, 2005

Grant E. Pollack, Esq.

Registration No. 34,097

**POLLACK, P.C.**

The Chrysler Building

132 East 43<sup>rd</sup> Street, Suite 760

New York, New York 10017

(646) 265-1468

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

On October 11, 2005

Name Grant E. Pollack



Signature

Attorney for Applicants

FORM PTO-1449 (REV. 7-80)								ATTY. DOCKET NO.: 1061.1010		SERIAL NO.: 09/698,981			
OCT 14 2005 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <b>LIST OF REFERENCES CITED BY APPLICANT</b> (Use several sheets if necessary)								APPLICANT(S): Babak REZVANI et al.					
								FILING DATE: 10/27/2000		GROUP: 2155			
U.S. PATENT DOCUMENTS													
*EXAMINER INITIAL		DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
		6	5	8	7	0	4	6	07/01/2003	Joao.	340	539.14	
		6	5	4	9	1	3	0	04/15/2003	Joao	340	539	
		6	5	4	2	0	7	7	04/01/2003	Joao	340	539	
		6	5	4	2	0	7	6	04/01/2003	Joao	340	539	
		6	3	0	7	8	5	7	10/23/2001	Yokoyama et al.	370	395	
		6	2	7	5	4	9	0	08/14/2001	Mattaway et al.	370	352	
FOREIGN PATENT DOCUMENTS													
		DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)													
EXAMINER								DATE CONSIDERED					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													